

## NJ INDIA INVEST PRIVATE LIMITED

### Policy on handling Good Till Triggered orders

#### 1. Introduction & Objective:

##### Introduction:

- i. Exchanges vide its circular no. NSE/INSP/62528 dated 21st June 2024 and 20240622-2 dated 22nd June 2024 bearing subject 'Policy on Handling of Good Till Cancelled Orders offered by Members to Clients' mandated trading members to formulate a policy in case they offer "Good Till Cancelled ("GTC")" / "Good Till Triggered ("GTT")" orders or orders of similar type.
- ii. The Orders placed by the client are by-default valid for Immediate Or Cancel ('IOC') or for the day as per the equity segment norms of NSE and BSE. The client has to re-punch his/her orders the subsequent day if the stock does not reach the expected price.
- iii. Good Till Triggered ('GTT') is the feature introduced to overcome this shortcoming wherein the order remains active till the time, price trigger is not hit or 365 days, whichever is earlier.

##### Objective:

The objective of this Policy is to define communication of corporate action to clients in the scrips which are Live in GTT or any scrips having Live Stock SIP.

#### 2. Scope and Applicability:

This Policy shall include –

- i. The Company presently offers the GTT ordering and Stock SIP facility.

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**STOCK BROKING | DEPOSITORY SERVICES | NPS  
FINANCIAL PRODUCT DISTRIBUTION: MUTUAL FUNDS | LOANS**

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- ii. Details of Good Till Triggered Orders or orders of similar type provided by the Company including its validity.
- iii. Manner of handling of such orders in case of corporate actions (e.g. cancellation, price reset, retaining, etc. for the unexecuted orders).
- iv. Provide a timeline within which the Company shall intimate its clients about details of upcoming corporate actions applicable for such unexecuted orders of clients, which shall not be later than one day prior to the ex-date of the corporate action as per regulatory guidelines.

This Policy is applicable to the clients having Live GTT order or Live Stock SIP.

### 3. **Definition:**

Good Till Triggered ('GTT'): Good Till Triggered ('GTT') is the feature wherein the order remains active till the time, price trigger is not hit or 365 days, whichever is earlier.

### 4. **Policy Content:**

#### **Details of Good Till Triggered orders:**

- i. NJ India Invest Private Limited ('NJ' or the 'Company') offers to its clients placing Good Till Triggered Orders.
- ii. All the existing and new clients of the Company who are eligible to trade in the cash segment can avail the GTT facility for order placement, however, the order execution shall be subject to availability of adequate funds/ securities. GTT orders can be placed as GTT Buy or Sell Orders.
- iii. The client is able to modify and cancel GTT orders from the GTT order book. Once triggered, the price can be modified or order can be canceled from the normal Order book till the time the order is executed.

**Handling of GTT orders and Stock SIP and updating clients in case of upcoming corporate actions:**

- i. If a client has an open/Live GTT order or Stock SIP in any scrip where corporate action is announced, NJ will not take any action on the client's GTT or Stock SIP.
- ii. An email communication will be sent to the clients on T-2 day (T= Ex date of corporation action) who are having either Live GTT order or having any Live Stock SIP in the scrips where corporate action is going to take place. On the basis of the communication, it would be the onus of the client to take appropriate action to modify / cancel their Live GTT orders or Live Stock SIP accordingly.

**5. Policy Review:**

The Board of Directors of the Company shall review the policy at least once in a year or as and when required.

**- BY MANAGEMENT ORDER**